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known spinels including ~130 oxides, some of them explored previously for ferroelectricity, $^{[4]}$ ferromagnetism, $^{[5]}$ superconductivity, $^{[6]}$ thermoelectricity, $^{[7-10]}$ and catalytic properties. $^{[11,12]}$ Only recently this large group of materials has been tapped for its potential in electronic and optoelectronic applications. $^{[13-16]}$ Such applications would require first and foremost that these materials can be doped n-type and/or p-type, even though the perfectly stoichiometric III_2 -II- VI_4 or II2-IV- VI_4 systems generally form a closed-shell octet, and hence tend to be insulators.

It is important to realize that doping in spinels can follow different rules from doping in traditional electronic materials, such as Si or GaAs. The main rea-

1. Introduction

 $A_2BX_4^{[1]}$ spinels (X = O, S, Se, Te)^[2,3] comprise a broad group of chalcogenides containing two metal atoms (A and B), being either III-valent and II-valent cations (giving the III₂-II-VI₄ spinel group,e.g., Al_2MgO_4) or II-valent and IV-valent cations (giving the II₂-IV-VI₄ spinel group,e.g., Cd₂SnO4. There are about 1000

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exploitation as electronic materials. Attempts have been previously made^[13-15] to distill some phenomenological expectations from the few known examples, establishing what makes a spinel n- or p- type. It was thought that (i) oxygen vacancy V(O) is the cause of n-type conductivity, [14,15,37] as supported by the fact that oxygen deficiency is correlated with electron conductivity, and that (ii) cation vacancy V(A) or V(B) is the cause of p-type conductivity. as supported by the general knowledge that cation vacancies are usually acceptors. Concomitantly, it was thought that (iii) excess of the high-valent cation (e.g., III in III2-II-VI4) will enhance n-typeness and defeat p-typeness^[28,39] of a spinel because III-on-II substitution is expected to be an electron-producing (hole-killing) donor, iii) Interstitial defects in the closed pack spinel structure are tought to be unlikely and hence do not affect its electrical properties. [40,41] Extra cation in an interstitial position lead to metal-metal bonding or metal-oxygen underbonding, [42] hence energetically they are difficult to form. These phenomenological principles have been guiding the search and optimization of doped spinels, but with little success. Previous first-principle calculations^[22,28] were limited to a few specific compounds, and no attempts have been made so

far to extract general understanding and general rules of doping in this family of oxides.

a high concentration of V(O), comparable to the concentration of anti-site donors are Ga₂ZnO₄ and Cr₂MnO₄ but the corresponding donor levels are energetically deep so oxygen vacancy is not the leading cause of n-type conductivity, even in these two spinel oxides (for example, the V(O) is double donor (0/2+) meaning the energy where V(O) changes from having charge = 0 to = +2 in Ga_2ZnO_4 and it occurs at E_c – 2.4 eV, whereas in Cr_2MnO_4 its a single donor (0/1+) meaning the energy where V(O) changes from having charge = 0 to = +1 and it occurs at $E_c - 2.8$ eV, with E_c being the short-hand notation for conduction band minimum). Similar to the oxygen vacancy, cation vacancies are not the leading source of p-type behavior, as can be seen from the survey of V(A) and V(B) vacancy concentrations in Figure 1. In a few spinels, such as Fe₂FeO₄, it has been shown experimentally that oxygen vacancies are not the main defects. [44,45] Since oxygen, cation vacancies, and interstitial defects are not the

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gap. External dopants will also behave similar as the intrinsic dopants. For example, adding external n-type dopants to the T_d site will shift $E_{\rm F}$ towards the CBM and thus cause formation of additional B²⁺-on-O_b anti-site defects, but there is no requirement that an equal amount of A³⁺-on-T_d would form. In fact, the Fermi level change in response to external n-type doping would even raise the formation energy of A³⁺-on-T_d, thereby reducing their numbers. The shaded green region in Figure 2a, right panel illustrate the narrow range over which such pinning can occur in a material with comparable formation energy and transition levels of both donors and acceptors. Asymmetry in the energetic properties of defects may cause this narrow region to move closer to one of the band edges or even inside of the band, as will be discussed below in more details. In the case of acceptor-abovedonor (Figure 2b, right panel), the Fermi level

is further subdivided into four doping types, depending on the positions of the defect energy levels with respect to band edges (Figure 3). Compensated defects occur in materials where crosssubstitution causes the donor level to be above the acceptor level (Figure 2a), and uncompensated defects occur when the acceptor level is above the donor level (Figure 2b). In the former case of donor-above-acceptor (Figure 2a), the Fermi energy can be pinned into a narrow range because of a negative feedback mechanism that counteracts intentional doping. We call materials with pinned Fermi level doping-type 1 (DT-1) materials. As shown in Figure 2a, right panel, in DT-1 materials, intentional n-type doping will initially shift the Fermi level , in the gap, causing an easier formation of native hole-producing acceptors such as B2+-on-Oh due to lowering of their formation energy (and a less-easy formation of A3+-on-Td donors), thus shifting the Fermi energy in the band gap. Conversely, intentional attempts of p-type doping of such a material would initially shift E_{F} the gap, but this will cause the easier fromation of native electron-producing donors such as A³⁺-on-T_d due to lowering of their formation energy (and a less-easy formation of B²⁺-on-O_h acceptors), thus shifting the Fermi level , in the

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free carriers. The balance of carriers, whether n-type prevails over p-type or vice versa, depends critically on the relative concentration of the two anti-sites and on the position of their energy levels with respect to the band edges. We distinguish the following generic behaviors:

- i) If the number of the A³⁺-on-T_d donors and B²⁺-on-O_b acceptors are comparable than: a) one expects net n-type behavior as exemplified by materials like In₂CdO₄ and Ga₂ZnO₄ (Figure 4) if the donor level lies closer to the CBM than the acceptor level is to the VBM. These are n-type compensated spinels. b) If the acceptor level lies closer to VBM than the donor level is to the CBM, signaling that the energy to ionize the acceptor is smaller than that needed to ionize the donor, (a case we call DT-1(p)), and exemplified by Co₂FeO₄, a net p-type behavior might be expected. We call these materials p-type compensated spinels. c) Finally, if the donor level position of the CBM is approximately equal to the acceptor level position of the VBM and if they lie either around the band edges, as exemplified by Al₂MgO₄ and Sc₂CdO₄, or at the mid gap, exemplified by Fe₂CoO₄, we have an electrically inactive intrinsic compensated spinel.
- However, if the number donor defects far exceeds the number of acceptors: a) net n-type behavior is expected when the donor is shallow, as exemplified by Fe₂ZnO₄, Fe₂MgO₄ and In₂MnO₄, or even if it is relatively deep compared to the acceptor; b) net insulating behavior is expected when donor is really deep; and similarly, if the number of acceptors far exceeds the number of donors, then: c) net p-type behavior is expected when the acceptor is shallow, as exemplified by In₂FeO₄ and Ga₂FeO₄, or if it is relatively deep compared to the donor, as exemplified by Ga₂FeO₄; and d) insulating behavior is expected when acceptor is really deep as exemplified by Ga₂MnO₄ and Sc₂MnO₄.

n-Typeness in this family of compounds can be enhanced by growing the compounds in an A-rich environment, as it promotes A substituting B in $T_{\rm d}$ sites, creating electrons. Similary p-typeness can be enhanced by growing the compounds in a B-rich environment, as it promotes B substituting A in O_h sites, creating holes. Furthermore, extrinsic doping in these spinels is unlikely to be effective:

base in a normal spinel) remains low-valent when it is moved from a T_d to an O_h site, then such a substitution to B²⁺-on-O_h could lead to an acceptor level, capable of releasing holes.

iv) Finally, if B raises its valence when it is moved from a T_d to an Oh site, then such substitution to B3+-on-Oh will be electrically neutral.

In the remaining part of this paper we will use DFT calculations of the donor and acceptor levels

